

## **Improved Transmission for 60° Photonic Crystal Waveguide Bends**

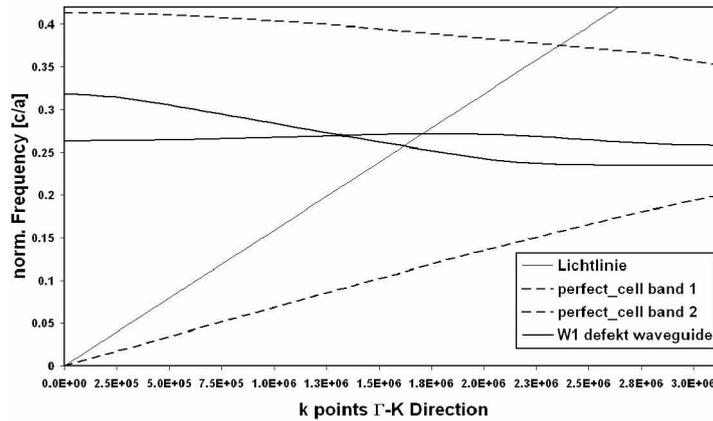
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### **Abstract**

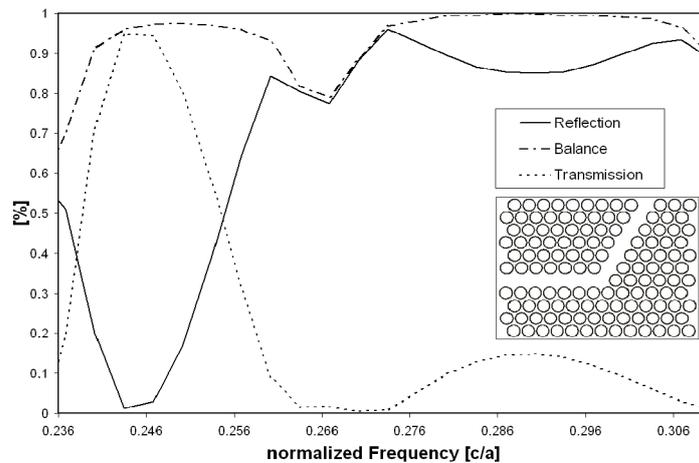
We have investigated and optimized a 60°-waveguide bend that is implemented in a planar photonic crystal (PhC) with triangular lattice symmetry. The in-plane guiding within the planar PhC structure is based on a W1 defect waveguide (a single line defect acting as a light channel in the  $\Gamma$ -K-direction) whereas for the vertical light confinement we rely in a slab waveguide formed by the low index contrast material system InGaAsP/InP. To achieve a reasonable bandgap around 1.55  $\mu\text{m}$  the PhC consists of a lattice of holes with a filling factor of 39%. Our simulations are carried out in the frequency domain with the 2D multiple multipole (MMP) method. We show a significant improvement in both the transmission efficiency (up to 96.8%) and the transmission bandwidth by performing an optimization based on a sensitivity analysis. The most promising structure was afterwards simulated with a 3D-FDTD program, where we achieved transmission efficiency that peaks at 66%.

A major drawback of conventional dielectric waveguides is that their bending radii are limited to several millimeters due to the degradation of total internal reflection. Since the guiding of light in a PhC defect waveguides is not given through total internal reflection but the photonic bandgap (PBG) effect they can provide bending within the subwavelength range. Hence, PhC waveguides offer a promising scheme for low loss and ultra-dense optical integration. Instead of the 3D-PhC structures that are difficult to fabricate 2D planar PhC system are widely used [1], where the in-plane guiding is provided by the photonic crystal and the vertical light confining is warranted by a slab waveguide that is formed by the low index contrast material InGaAsP/InP. A PhC possesses a bandgap in which light is not permitted to propagate. By introducing a line defect in the PhC light of certain wavelengths is now allowed to be guided. Since, we want to use an operating wavelength around 1.55  $\mu\text{m}$  our PhC consists of an array of holes with triangular lattice symmetry having a filling factor of 39% (which yields to a  $r/a$  ratio of 0.33). The vertical slab structure comprises a multi-layer structure where the InGaAsP ( $Q=1.22$ ) guiding layer of thickness 434nm is sandwiched between a 200nm cap layer and a 600nm buffer layer, both made of InP. The substrate consists of  $n^+$ -doped InP. For the 2D simulations we therefore use an effective index of 3.24 as background material, which is calculated by multilayer effective index method. This 2D PhC supports a photonic bandgap between  $c/a$  of 0.203 and 0.35 for TE polarized light. We introduce now a W1 waveguide (a single line defect in the  $\Gamma$ -K-direction), to achieve a dispersion curve in this bandgap region. This dispersion curve extends in the  $\Gamma$ -K-direction from  $c/a = 0.31$  down to 0.233 (Figure 1). We can clearly see the mini-stopband, as already reported in numerous studies.



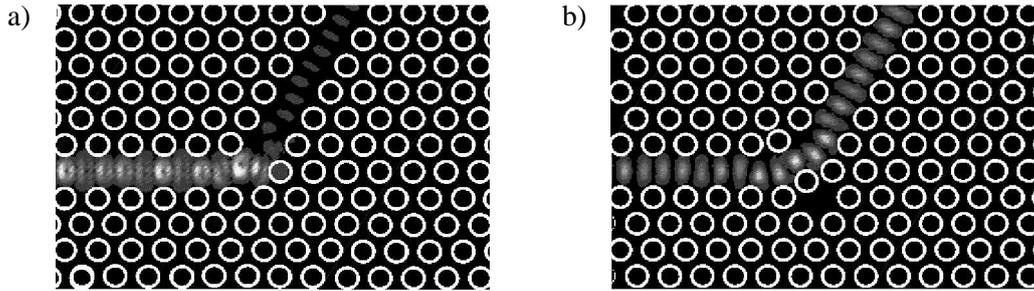
**Figure 1:** Dispersion curve of the W1 waveguide with a filling factor of 39% and an effective (background) index of 3.24.

Since, phenomenological models [2] have proven to be best suited to bridge the gap between a realistic planar PhC structure and its proper 2D representation 2D modeling has become a powerful mean for the evaluation of PhC device concepts. In order to do so we are using a 2D multiple multipole (MMP) method [3], which allows us to introduce the eigenmodes of the W1 defect waveguide as perfect excitation and matching conditions for the different ports. We start our optimization by first looking at a wavelength scan in the non-optimized case, wherein the original position of the holes remains unchanged (Inset of Figure 2).

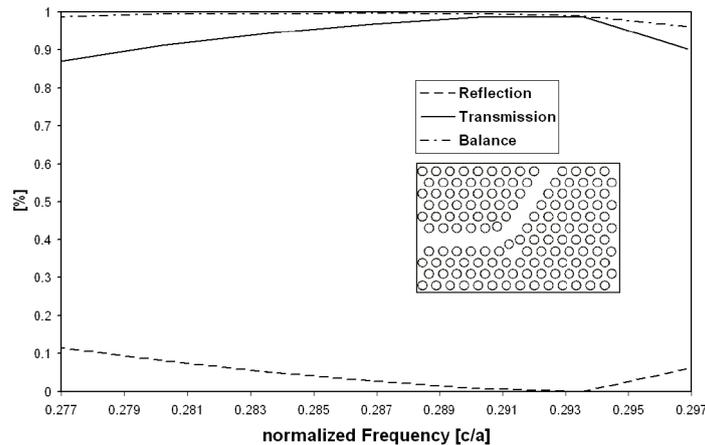


**Figure 2:** Wavelength scan of the 60°-bend with the original hole position (inset). The maximum of transmission lays around 0.245 and has a bandwidth of about 0.055

One can clearly observe the bend's high transmission efficiency at a normalized frequency around 0.245 providing a bandwidth of about 0.0065, which accords to a wavelength range of 160nm. The drop in the power conservation (balance curve) is due to the mini-stopband shown in figure 1. A sensitivity analysis with respect to small displacements was done for the most critical holes around the proper bending region, in order to shift the maximum of transmission efficiency towards a wider bandwidth. After several optimization steps we finally achieved a best value for the power transmission (Figure 3) of 96.8% (reflection 2.84%) and an overall transmission of more than 86% for a normalized frequency range of 0.02, which corresponds to a wavelength range of 290nm (Figure 4). In the non-optimized case the transmission reached a value of only 14.48% (reflection 85.35%) within this wavelength range.

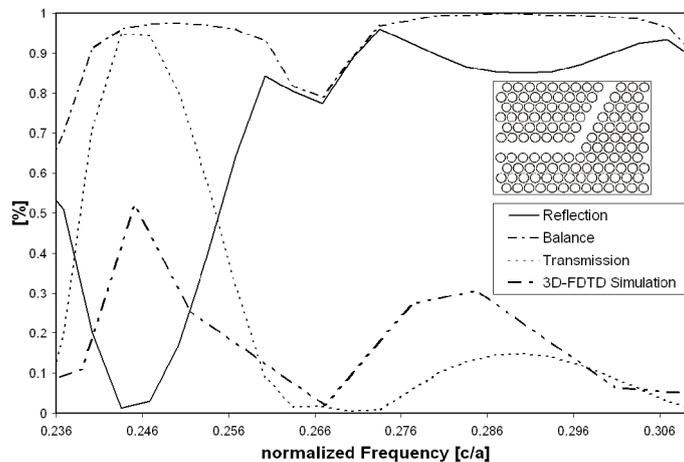


**Figure 3:** The flow of light is displayed by means of the Poynting vector. For the initial structure (a) the transmission is 14.48% and the reflection 85.35%, whereas for the optimized structure (b) the transmission obtains 96.8% and the reflection 2.84%. Excitation is from left for TE-polarization.



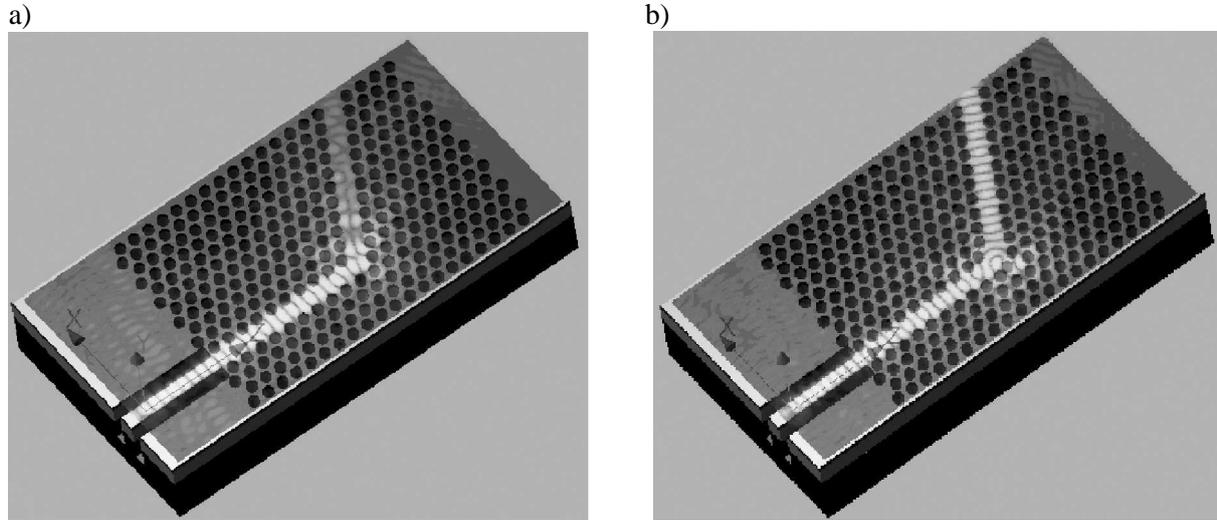
**Figure 4:** Transmission spectrum of the optimized bend structure (inset). The bandwidth covers a normalized frequency range of 0.02.

In order to validate our 2D structural optimization scenario we perform 3D-FDTD simulations along realistic planar  $60^\circ$ -bends implemented in a low index contrast slab waveguide. Since we want to use this device around 1550nm we calculate the lattice constant to be 430nm and obtain therefore a hole radius of 141.9nm, respectively. The fundamental mode in the PhC waveguide is excited using a few microns long ridge waveguide ( $2\mu\text{m}$  etch depth) as feeding structure. To ensure single mode operation we set the ridge waveguide width equal to that of the W1 PhC (i.e. 460nm). Figure 5 shows a qualitatively good agreement between 2D MMP simulation and the 3D FDTD with regard to the distinct spectral features of the non-optimized bend's transmission characteristics.



**Figure 5:** Comparison of bend simulation with 2D MMP method and 3D FDTD. Both simulations show a good characteristic agreement.

As a next step we use the bend topology that has been provided by the 2D optimization scenario for a subsequent 3D simulation. Here we achieve an improvement in transmission efficiency of more than 40%, which yields to overall power transmission values larger than 60% for the W1 60° waveguide bend as shown in Figure 6 (b).



**Figure 6:** 3D FDTD simulation of the  $H_z$ -field for a (a) non-optimized bend and (b) an optimized bend. The TE-mode is injected using the ridge waveguide on the left bottom. We achieve an improvement in transmission efficiency of about 40% leading to power transmission values of larger than 60% for the optimized bend.

In conclusion, we performed the analysis and optimization of a planar PhC 60°-bend for a low index contrast InGaAsP/InP waveguide system. We used a 2D representation of the planar PhC structure to setup a sensitivity analysis with regard to the most critical holes in the proper bending region. This optimization step has resulted in a 2D PhC bend that shows a power transmission of at least 86% over a wavelength range of 290nm.

Based on the qualitative agreement between 2D and 3D simulations an optimal planar PhC 60°-bend has been proposed. The resulting bend shows an improved transmission efficiency of more than 60%. This result may provide additional confidence with respect to a proximate device fabrication.

#### References:

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- [3] E. Moreno, D. Erni, Ch. Hafner, "Modeling of discontinuities in photonic crystal waveguides with the multiple multipole method," *Phys. Rev. E*, Vol. 66, No. 3, pp. 036618-1-036618-12, Sept. 27, 2002